

EKADRISHT CAPITAL PRIVATE LIMITED

MERCHANT BANKER (CATEGORY 1) – SEBI REGISTERED

CIN: U66190MH2023PTC401863 | **SEBI Reg. No.** INM000013040

Registered Office: 406, Summit Business Park, Chakala,

Andheri Kurla Road, Andheri East, Mumbai 400 093, Maharashtra, India.

Tel no.: +91 8928631037 | **Email:** info@ekadrisht.com

Website: www.ekadrisht.com

INVESTOR COMPLAINTS DATA

1. SMALL AND MID-SIZE ENTERPRISES INITIAL PUBLIC OFFER (IPO)/ FURTHER PUBLIC OFFER (FPO), INCLUDING OFFER FOR SALE (OFS)							
A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2025							
Sr. No.	Received From	Pending as on the last month ending October 31, 2025*	Received during the month ending November 30, 2025*	Resolved during the month ending November 30, 2025*	Total pending during the month ending on November 30, 2025*	Pending complaint s for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2.	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3.	Stock Exchanges (If relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4.	Other Sources (If any)	Nil	Nil	Nil	Nil	Nil	Nil
5.	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	July 2025	Nil	Nil	Nil	Nil		
2.	August 2025	Nil	Nil	Nil	Nil		
3.	September 2025	Nil	Nil	Nil	Nil		
4.	October 2025	Nil	Nil	Nil	Nil		
5.	November 2025	Nil	Nil	Nil	Nil		
C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar	Pending at the end of the particular calendar year		
1.	2023	Nil	Nil	Nil	Nil		
2.	2024	Nil	Nil	Nil	Nil		
3.	2025@	Nil	3	3	Nil		
4.	2026@	Nil	Nil	Nil	Nil		
5.	2027@	Nil	Nil	Nil	Nil		

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

@Relevant month/ year has not yet completed.



2. SUBSTANTIAL ACQUISITIONS OF SHARES AND TAKEOVERS

A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2025

Sr. No.	Received From	Pending as on the last month ending October 31, 2025*	Received during the month ending November 30, 2025*	Resolved during the month ending November 30, 2025*	Total pending during the month ending on November 30, 2025*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	July 2025			Nil	
2.	August 2025			Nil	
3.	September 2025			Nil	
4.	October 2025			Nil	
5.	November 2025			Nil	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar	Pending at the end of the particular calendar year
1.	2023			Nil	
2.	2024			Nil	
3.	2025@			Not Applicable	
4.	2026@			Not Applicable	
5.	2027@			Not Applicable	

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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3. RIGHTS ISSUE							
A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2025							
Sr. No.	Received From	Pending as on the last month ending October 31, 2025*	Received during the month ending November 30, 2025*	Resolved during the month ending November 30, 2025*	Total pending during the month ending on November 30, 2025*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	July 2025				Nil		
2.	August 2025				Nil		
3.	September 2025				Nil		
4.	October 2025				Nil		
5.	November 2025				Nil		
C.							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar	Pending at the end of the particular calendar year		
1.	2023				Nil		
2.	2024				Nil		
3.	2025@				Not Applicable		
4.	2026@				Not Applicable		
5.	2027@				Not Applicable		

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

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4. QUALIFIED INSTITUTIONS PLACEMENT (QIPS)

A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2025

Sr. No.	Received From	Pending as on the last month ending October 31, 2025*	Received during the month ending November 30, 2025*	Resolved during the month ending November 30, 2025*	Total pending during the month ending on November 30, 2025*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	July 2025			Nil	
2.	August 2025			Nil	
3.	September 2025			Nil	
4.	October 2025			Nil	
5.	November 2025			Nil	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar	Pending at the end of the particular calendar year
1.	2023			Nil	
2.	2024			Nil	
3.	2025@			Not Applicable	
4.	2026@			Not Applicable	
5.	2027@			Not Applicable	

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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5. PUBLIC ISSUE OF NON-CONVERTIBLE REDEEMABLE PREFERENCE SHARES							
A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2025							
Sr. No.	Received From	Pending as on the last month ending October 31, 2025*	Received during the month ending November 30, 2025*	Resolved during the month ending November 30, 2025*	Total pending during the month ending on November 30, 2025*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors			Nil			
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	Grand Total			Nil			
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	July 2025			Nil			
2.	August 2025			Nil			
3.	September 2025			Nil			
4.	October 2025			Nil			
5.	November 2025			Nil			
C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar	Pending at the end of the particular calendar year		
1.	2023			Nil			
2.	2024			Nil			
3.	2025@			Not Applicable			
4.	2026@			Not Applicable			
5.	2027@			Not Applicable			

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

[^]Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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6. PUBLIC ISSUE OF DEBT SECURITIES

A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2025

Sr. No.	Received From	Pending as on the last month ending October 31, 2025*	Received during the month ending November 30, 2025*	Resolved during the month ending November 30, 2025*	Total pending during the month ending on November 30, 2025*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	July 2025			Nil	
2.	August 2025			Nil	
3.	September 2025			Nil	
4.	October 2025			Nil	
5.	November 2025			Nil	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar	Pending at the end of the particular calendar year
1.	2023			Nil	
2.	2024			Nil	
3.	2025@			Not Applicable	
4.	2026@			Not Applicable	
5.	2027@			Not Applicable	

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

[^]Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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7. PRIVATE PLACEMENT OF NON-CONVERTIBLE SECURITIES

A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2025

Sr. No.	Received From	Pending as on the last month ending October 31, 2025*	Received during the month ending November 30, 2025*	Resolved during the month ending November 30, 2025*	Total pending during the month ending on November 30, 2025*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	July 2025			Nil	
2.	August 2025			Nil	
3.	September 2025			Nil	
4.	October 2025			Nil	
5.	November 2025			Nil	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar	Pending at the end of the particular calendar year
1.	2023			Nil	
2.	2024			Nil	
3.	2025@			Not Applicable	
4.	2026@			Not Applicable	
5.	2027@			Not Applicable	

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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8. PREFERENTIAL ISSUE

A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2025

Sr. No.	Received From	Pending as on the last month ending October 31, 2025*	Received during the month ending November 30, 2025*	Resolved during the month ending November 30, 2025*	Total pending during the month ending on November 30, 2025*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	July 2025			Nil	
2.	August 2025			Nil	
3.	September 2025			Nil	
4.	October 2025			Nil	
5.	November 2025			Nil	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar	Pending at the end of the particular calendar year
1.	2023			Nil	
2.	2024			Nil	
3.	2025@			Not Applicable	
4.	2026@			Not Applicable	
5.	2027@			Not Applicable	

Notes:

*Inclusive of complaints of previous months resolved in the current month;

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^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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9. INITIAL PUBLIC OFFER (IPO)/ FURTHER PUBLIC OFFER (FPO), INCLUDING OFFER FOR SALE (OFS)							
A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2025							
Sr. No.	Received From	Pending as on the last month ending October 31, 2025*	Received during the month ending November 30, 2025*	Resolved during the month ending November 30, 2025*	Total pending during the month ending on November 30, 2025*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors			Nil			
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	Grand Total			Nil			
B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	July 2025			Nil			
2.	August 2025			Nil			
3.	September 2025			Nil			
4.	October 2025			Nil			
5.	November 2025			Nil			
C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar	Pending at the end of the particular calendar year		
1.	2023			Nil			
2.	2024			Nil			
3.	2025@			Not Applicable			
4.	2026@			Not Applicable			
5.	2027@			Not Applicable			

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

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10. DELISTING OF EQUITY SHARES							
A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2025							
Sr. No.	Received From	Pending as on the last month ending October 31, 2025*	Received during the month ending November 30, 2025*	Resolved during the month ending November 30, 2025*	Total pending during the month ending on November 30, 2025*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors			Nil			
2.	SEBI (SCORES)			Nil			
3.	Stock Exchanges (If relevant)			Nil			
4.	Other Sources (If any)			Nil			
5.	Grand Total			Nil			
B. TREND OF MONTLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS							
Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^		
1.	July 2025			Nil			
2.	August 2025			Nil			
3.	September 2025			Nil			
4.	October 2025			Nil			
5.	November 2025			Nil			
C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS							
Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar year	Pending at the end of the particular calendar year		
1.	2023			Nil			
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3.	2025@			Not Applicable			
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5.	2027@			Not Applicable			

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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11. BUYBACK OF SECURITIES

A. DATA FOR THE MONTH ENDING NOVEMBER 30, 2025

Sr. No.	Received From	Pending as on the last month ending October 31, 2025*	Received during the month ending November 30, 2025*	Resolved during the month ending November 30, 2025*	Total pending during the month ending on November 30, 2025*	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		

B. TREND OF MONTHLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS

Sr. No.	Month	Carried Forward from the previous month	Received during the particular month	Resolved during the particular month*	Pending complaints for more than one month^
1.	July 2025			Nil	
2.	August 2025			Nil	
3.	September 2025			Nil	
4.	October 2025			Nil	
5.	November 2025			Nil	

C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar	Pending at the end of the particular calendar year
1.	2023			Nil	
2.	2024			Nil	
3.	2025@			Not Applicable	
4.	2026@			Not Applicable	
5.	2027@			Not Applicable	

Notes:

*Inclusive of complaints of previous months resolved in the current month;

#Inclusive of complaints pending as on the last day of the month;

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;

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For Ekadrisht Capital Private Limited



Vaibhav Khemka
 Company Secretary and Compliance Officer
 (Membership No.: A72769)