

#### EKADRISHT CAPITAL PRIVATE LIMITED

#### MERCHANT BANKER (CATEGORY 1) - SEBI REGISTERED

CIN - U66190MH2023PTC401863 SEBI Reg. No. INM000013040

1102, Summit Business Park, Chakala. Andheri Kurla Rd, Andheri E, Mumbai 400093 Tel - 022 - 26830300 Email - info@ekadrisht.com Website - www.ekadrisht.com

#### **INVESTOR COMPLAINTS DATA**

					RES AND TAKEO	VERS	
A. D	ATA FOR THE MONTH ENI		EMBER 30, 20	23		T	T
Sr. No.	Received From	Pending as on the last month ending August 31, 2023**	Received during the month ending September 30, 2023	Resolved during th month ending September 30, 2023	pending during the month ending on	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)	Nil					
5.	Grand Total				Nil		
В. Т	REND OF MONTLY DISPOSA	AL OF CON	IPLAINTS FO	R 5 (FIVE)	MONTHS ON R	OLLING BAS	IS
Sr. No.	Month	Carried Forward from the previous month	Receive the pa	d during rticular onth	Resolved during the particular month*	. Fending	g complaints for nan one month^
1.	September, 2023@				Nil		
2.	October, 2023@				Not Applicable		
3.	November 2023@				Not Applicable		
4.	December 2023@				Not Applicable		
5.	January 2024 <sup>@</sup>				Not Applicable		
	REND OF ANNUAL CALENI ASIS		FOR DISPOSA	AL OF CON	APLAINTS FOR 5	5 (FIVE) YEAR	S ON ROLLING
Sr. No.	Year	Carried Forward from previous calendar year	Received du particular c year	alendar	Resolved during particular caler	the	ing at the end of rticular calendar year
1.	2023	Nil	Nil		Nil		Nil

5. Notes:

2.

3.

4.

Not Applicable

Not Applicable

Not Applicable

Not Applicable

a Relevant month year has not yet completed.

2024@

2025@

 $2026^{@}$ 

2027@



<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month:

<sup>\*\*</sup>Relevant month not applicable since as Merchant Banker certificate of registration was received as on September 05, 2023; #Inclusive of complaints pending as on the last day of the month;

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;



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Not Applicable

### 2. SMALL AND MID-SIZE ENTERPRISES INITIAL PUBLIC OFFER (IPO)/ FURTHER PUBLIC OFFER (FPO), INCLUDING OFFER FOR SALE (OFS)

Sr. No.	Received From	Pending as on the last month ending August 31, 2023**	Received during the month ending September 30, 2023	Resolved during the month ending Septembe r 30, 2023*	Total pending during the month ending on September 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors	Nil					
2.	SEBI (SCORES)	Nil					
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total				Nil		
B. T	REND OF MONTLY DISPOS	AL OF COM	PLAINTS FOR :	5 (FIVE) MO	NTHS ON ROL	LLING BASIS	
Sr. No.	Month	Carried Forward fro the previou month	the parti	cular	Resolved during the particular month*	Pending c	complaints for n one month^

3.	November 2023 <sup>®</sup>	Not Applicable
4.	December 2023@	Not Applicable
5.	January 2024 <sup>@</sup>	Not Applicable
C T	DEND OF ANNUAL CALEND	A DIVELD FOR DISPOSAL OF COMPLETING FOR \$ (FIVE) VELDS ON DOLLING

#### C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar	Pending at the end of the particular calendar year	
1.	2023			Nil		
2.	2024@		<u> </u>	Not Applicable		
3.	2025 <sup>@</sup>	Not Applicable				
4.	2026 <sup>@</sup>	Not Applicable				
5.	2027@		N	Not Applicable		

Notes:

a Relevant month year has not yet completed.

September, 2023<sup>@</sup>
October, 2023<sup>@</sup>



<sup>\*</sup>inclusive of complaints of previous months resolved in the current month;

<sup>\*\*</sup>Relevant month not applicable since as Merchant Banker certificate of registration was received as on September 05, 2023; #Inclusive of complaints pending as on the last day of the month:

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;



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			3. RIGHTS	ISSUE					
Α. [	DATA FOR THE MONTH	ENDING SEPT	EMBER 30, 2023	3					
Sr. No.	Received From	Pending as on the last month ending August 31, 2023	Received during the month ending September 30, 2023	Resolved during the month ending September 30, 2023*	Total pending during the month ending on Septembe r 30, 2023#	Pending complaint s for more than one month^	Average Resolution Time (In days)		
1.	Directly from Investors		Nil						
2.	SEBI (SCORES)			Nil					
3.	Stock Exchanges (If relevant)			Nil					
4.	Other Sources (If any)		Nil						
5.	Grand Total			Nil					
B. T	TREND OF MONTLY DIS	POSAL OF CO	MPLAINTS FOR	R 5 (FIVE) MON	THS ON ROL	LING BAS	IS		
Sr. No.	Month	Carried Forward fro the previou month	the nart	icular the	Resolved during the particular month*		Pending complaints for more than one month^		
1.	September, 2023@			Nil	Nil				
2.	October, 2023@			Not Appl	icable				
3.	November 2023@			Not Appl					
4.	December 2023@			Not Appl					
5.	January 2024@			Not Appl					
	REND OF ANNUAL CAL	ENDAR YEAR	FOR DISPOSAL			IVE) YEAR:	S ON ROLLING		
Sr. No.	Year	Carried Forward from previous calendar year	Received dur particular ca year	landar Reso	lved during the	e the nee	ng at the end of ticular calendar year		
1.	2023	•		Nil					
2.	2024@			Not Appl	icable				
3.	2025 <sup>@</sup>			Not Appli					
4.	2026@			Not Appli					
5.									

Votes:

@Relevant month/ year has not yet completed.



<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month;

<sup>\*\*</sup>Relevant month not applicable since as Merchant Banker certificate of registration was received as on September 05, 2023;

<sup>#</sup>Inclusive of complaints pending as on the last day of the month;

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;



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	4. QU	ALIFIED	INSTITUTI	ONS PLA	CEMENT (QIP	S)	
A. I	DATA FOR THE MONTH ENI		EMBER 30, 20	23			
Sr. No.	Received From	Pending as on the last month ending August 31, 2023	Received during the month ending September 30, 2023	Resolved during the month ending September 30, 2023*	month	Pending complaints for more than one month^	Average Resolution Time (In days
1.	Directly from Investors			1	Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total	Nil					
В. Т	REND OF MONTLY DISPOS	AL OF COM	IPLAINTS FO	OR 5 (FIVE)	MONTHS ON RO	LLING BAS	IS
Sr. No.	Month	Carried Forward from the previous month	Received the par	d during rticular nth	Resolved during the particular month*	Pending	complaints for an one month^
1.	September, 2023@	month			Nil		
2.	October, 2023@			N	ot Applicable		
3.	November 2023@				ot Applicable		
4.	December 2023@				ot Applicable		
5.	January 2024@				ot Applicable		
C. T	REND OF ANNUAL CALEND ASIS	AR YEAR F	OR DISPOSA	L OF COM	PLAINTS FOR 5 (F	FIVE) YEARS	S ON ROLLING
Sr. No.	Year	Carried Forward from previous	Received du particular c	alendar	Resolved during the	ie the second	ng at the end of ticular calendar
		calendar vear	year				year
	2023	calendar year	year				year
	2023 2024 <sup>@</sup>		year		Nil		year
?.			year	No	Nil ot Applicable		year
	2024 <sup>@</sup>		year	No No	Nil		year

voies.

@Relevant month/year has not yet completed.



<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month,

<sup>\*\*</sup>Relevant month not applicable since as Merchant Banker certificate of registration was received as on September 05, 2023;

<sup>#</sup>Inclusive of complaints pending as on the last day of the month;

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month:



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A. D	ATA FOR THE MONTH END	ING SEPTEN	TBER 30, 2023					
Sr. No.	Received From	Pending as on the last month ending August 31, 2023	Received during the month ending September 30, 2023	Resolved during the month ending September 30, 2023*	month	Pending complaints for more than one month^	Average Resolution Time (In days)	
1.	Directly from Investors				Nil			
2.	SEBI (SCORES)				Nil			
3.	Stock Exchanges (If relevant)				Nil			
4.	Other Sources (If any)		Nil					
5.	Grand Total	Nil						
В. Т	TREND OF MONTLY DISPOS	AL OF COMI	PLAINTS FOR 5	(FIVE) MO	NTHS ON ROL	LING BAS	IS	
Sr. No.	Month	Carried Forward from the previous month	Received of the partic	cular	Resolved during the particular month*		g complaints for han one month^	
1.	September, 2023@	111111111111111111111111111111111111111			Nil			
2.	October, 2023@			Not A	pplicable			
3.	November 2023@				applicable			
4.	December 2023@				applicable			
5.	January 2024 <sup>@</sup>			Not A	pplicable			
	TREND OF ANNUAL CALENI BASIS	OAR YEAR FO	OR DISPOSAL O	OF COMPLA	AINTS FOR 5 (F	IVE) YEAR	S ON ROLLING	
	Year	Forward from previous	Received duri	ondon K	tesolved during tarticular calend	ne t	ling at the end of he particular alendar year	
Sr. No.	rear	calendar	year					
	2023	calendar	year		Nil			
No.		calendar	year	Not A				
No.	2023 2024 <sup>@</sup>	calendar	year		Applicable			
No.	2023	calendar	year	Not A				

Votes.

@Relevant month/ year has not yet completed.





<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month:

<sup>\*\*</sup>Relevant month not applicable since as Merchant Banker certificate of registration was received as on September 05, 2023;

<sup>#</sup>Inclusive of complaints pending as on the last day of the month:

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;



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	6.		SSUE OF DI	EBT SECU	RITIES		
Α. Γ	OATA FOR THE MONTH ENDI	NG SEPTEM	BER 30, 2023				
Sr. No.	Received From	Pending as on the last month ending August 31, 2023	Received during the month ending September 30, 2023	Resolved during the month ending September 30, 2023*	month	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors				Nil		
2.	SEBI (SCORES)				Nil		
3.	Stock Exchanges (If relevant)				Nil		
4.	Other Sources (If any)				Nil		
5.	Grand Total	Nil					
В. Т	REND OF MONTLY DISPOSA	L OF COMP	LAINTS FOR:	5 (FIVE) MO	NTHS ON ROL	LING BASI	S
Sr. No.	Month	Carried Forward from the previous month	Received of the parti- mont	cular	Resolved during the particular month*		complaints for an one month^
1.	September, 2023@				Nil		
2.	October, 2023@			Not A	Applicable		
3.	November 2023@			Not /	Applicable		
4.	December 2023@			Not /	Applicable		
5.	January 2024 <sup>@</sup>			Not /	Applicable		
C. 7	FREND OF ANNUAL CALENDA BASIS		R DISPOSAL (			IVE) YEARS	ON ROLLING
Sr. No.	Year	Carried Forward from previous calendar year	Received dur particular ca year	landar K	esolved during t articular calend	ne th	ng at the end o e particular lendar year
1.	2023	V			Nil		
2.	2024@			Not a	Applicable		
3.	2025 <sup>@</sup>				Applicable		
		Not Applicable  Not Applicable					
4.	2026 <sup>@</sup>			Not /	Applicable		

Notes

@Relevant month year has not yet completed.



<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month;

<sup>\*\*</sup>Relevant month not applicable since as Merchant Banker certificate of registration was received as on September 05, 2023;

<sup>#</sup>Inclusive of complaints pending as on the last day of the month:

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month;



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PRIVATE PLACEMENT NON-CONVERTIBLE SECURITIES DATA FOR THE MONTH ENDING SEPTEMBER 30, 2023 Total Pending Received Resolved pending Pending as on the during the during the during the complaints Average last month month month Sr. Received From month for more Resolution ending ending ending No. than one Time (In days) ending on August 31, Septembe September September month^ 2023 r 30, 2023 30, 2023\* 30, 2023# Nil Directly from Investors Nil 2. SEBI (SCORES) Nil Stock Exchanges (If relevant) 3. Nil Other Sources (If any) 4. Nil 5. **Grand Total** TREND OF MONTLY DISPOSAL OF COMPLAINTS FOR 5 (FIVE) MONTHS ON ROLLING BASIS Carried Resolved during Forward Received during Pending complaints for Sr Month from the the particular the particular more than one month^ No. previous month month\* month September, 2023@ Nil 2. October, 2023@ Not Applicable 3. November 2023@ Not Applicable 4. December 2023@ Not Applicable 5. January 2024@ Not Applicable TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS Carried Forward Pending at the end of Received during the Sr. from Resolved during the Year particular calendar the particular calendar No. previous particular calendar year year calendar year 2023 1. Nil 2. 2024@ Not Applicable 2025@ 3. Not Applicable 2026@ 4. Not Applicable

5. Notes.

a Relevant month year has not yet completed.

2027





Not Applicable

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month:

<sup>\*\*</sup>Relevant month not applicable since as Merchant Banker certificate of registration was received as on September 05, 2023; #Inclusive of complaints pending as on the last day of the month;

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month:



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			REFERENTL	AL ISSUE			<b>经基本证据</b>	
A. D	ATA FOR THE MONTH END	ING SEPTEN	1BER 30, 2023					
Sr. No.	Received From	Pending as on the last month ending August 31, 2023	Received during the month ending September 30, 2023	Resolved during the month ending September 30, 2023*	Total pending during the month ending on Septembe r 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)	
1.	Directly from Investors	Nil						
2.	SEBI (SCORES)			N	il			
3.	Stock Exchanges (If relevant)			N	il			
4.	Other Sources (If any)		Nil					
5.	Grand Total	Nil						
B. T	REND OF MONTLY DISPOS	AL OF COMI	PLAINTS FOR 5	(FIVE) MON	THS ON ROL	LING BASIS		
Sr. No.	Month	Carried Forward from the previous month	Received du the particu month		olved during e particular month*		omplaints for one month^	
1.	September, 2023@	month		N	lil			
2.	October, 2023@			Not Ap	plicable			
3.	November 2023@				plicable			
4.	December 2023@				plicable			
5.	January 2024 <sup>®</sup>				plicable			
C. T	FREND OF ANNUAL CALEND BASIS		OR DISPOSAL C			(VE) YEARS (	ON ROLLING	
Sr. No.	Year	Carried Forward from previous calendar year	Received durin particular cale year	ndar Kesu	olved during the	the parti	at the end of cular calendar year	
		7		N	Vil			
1.	2023	Not Applicable						
			9		plicable			
2.	2024@		5	- Not Ap				
1. 2. 3. 4.			,	Not Ap Not Ap	plicable plicable plicable			

Notes:

a Relevant month year has not yet completed.



<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month:

<sup>\*\*</sup>Relevant month not applicable since as Merchant Banker certificate of registration was received as on September 05, 2023:

<sup>#</sup>Inclusive of complaints pending as on the last day of the month;

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month:



## EKADRISHT CAPITAL PRIVATE LIMITED MERCHANT BANKER (CATEGORY 1) – SEBI REGISTERED

CIN - U66190MH2023PTC401863 SLB1 Reg. No. INM000013040

1102, Summit Business Park, Chakala, Andheri Kurla Rd, Andheri E, Mumbai 400093 Tel - 022 - 26830300 Email - info@ekadrisht.com Website - www.ekadrisht.com

## 9. INITIAL PUBLIC OFFER (IPO)/ FURTHER PUBLIC OFFER (FPO), INCLUDING OFFER FOR SALE (OFS)

Sr. No.	Received From	Pending as on the last month ending August 31, 2023	Received during the month ending September 30, 2023	Resolved during the month ending September 30, 2023*	Total pending during the month ending on September 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)
1.	Directly from Investors			N	il		
2.	SEBI (SCORES)	Nil					
3.	Stock Exchanges (If relevant)			N	il		
4.	Other Sources (If any)			N	il		
5.	Grand Total			N			
B. T	REND OF MONTLY DISPOS	SAL OF COM	PLAINTS FOI	R 5 (FIVE) MON	THS ON ROL	LING BASIS	
Sr. No.	Month	Carried Forward from the	Received the part	ticular the	olved during e particular month*	Pending cor	nplaints for

		month	month	month				
1.	September, 2023@		Nil					
2.	October, 2023@		Not Applicable					
3.	November 2023@		Not Applicable					
4.	December 2023@		Not Applicable					
5.	January 2024@	Not Applicable						

#### C. TREND OF ANNUAL CALENDAR YEAR FOR DISPOSAL OF COMPLAINTS FOR 5 (FIVE) YEARS ON ROLLING BASIS

Sr. No.	Year	Carried Forward from previous calendar year	Received during the particular calendar year	Resolved during the particular calendar	Pending at the end of the particular calendar year			
1.	2023			Nil				
2.	2024@			Not Applicable				
3.	2025 <sup>@</sup>		Not Applicable					
4.	2026 <sup>@</sup>			Not Applicable				
5.	2027 <sup>@</sup>		Not Applicable					

Notes:

DATA FOR THE MONTH ENDING SEPTEMBER 30, 2023

@Relevant month/ year has not yet completed.



<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month:

<sup>\*\*</sup>Relevant month not applicable since as Merchant Banker certificate of registration was received as on September 05, 2023:

<sup>#</sup>Inclusive of complaints pending as on the last day of the month;

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month:



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		10. DELIS	TING OF EQ	UITY SHA	RES							
A D	ATA FOR THE MONTH END	ING SEPTEM	IBER 30, 2023									
Sr. No.	Received From	Pending as on the last month ending August 31, 2023	Received during the month ending September 30, 2023	Resolved during the month ending September 30, 2023*	month	Pending complaints for more than one month^	Average Resolution Time (In days)					
1.	Directly from Investors	Nil										
2.	SEBI (SCORES)	Nil										
3.	Stock Exchanges (If relevant)	Nil										
4.	Other Sources (If any)	Nil										
5	Grand Total	Nil										
В. Т	REND OF MONTLY DISPOS	AL OF COM	PLAINTS FOR	5 (FIVE) MO	NTHS ON ROL	LING BASIS						
Sr. No.	Month	Carried Forward from the previous month	Received d the partic month	ular t	esolved during he particular month*	Pending complaints for more than one month^						
1.	September, 2023@	Nil										
2.	October, 2023@	Not Applicable										
3.	November 2023@	Not Applicable										
4.	December 2023@	Not Applicable										
5	January 2024@	Not Applicable										
C.	FREND OF ANNUAL CALENI BASIS	.,	OR DISPOSAL	OF COMPLA	AINTS FOR 5 (F	IVE) YEARS	ON ROLLING					
Sr. No.	Year	Carried Forward from previous calendar year	Received during particular calc	Re	solved during th rticular calenda	Pending at the end of the particular calendar year						
1.	2023	Nil										
20.00		Not Applicable										
2.	2024@				Not Applicable							
2.	2024 <sup>@</sup> 2025 <sup>@</sup>											
				Not A								

Votes

\*Inclusive of complaints of previous months resolved in the current month;

\*\*Relevant month not applicable since as Merchant Banker certificate of registration was received as on September 05, 2023:

#Inclusive of complaints pending as on the last day of the month:

^Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month:

@Relevant month/year has not yet completed.







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			YBACK OF S	ECURITIES						
Sr. No.	ATA FOR THE MONTH END Received From	Pending as on the last month ending August 31, 2023	Received during the month ending September 30, 2023	Resolved during the month ending September 30, 2023*	Total pending during the month ending on Septembe r 30, 2023#	Pending complaints for more than one month^	Average Resolution Time (In days)			
1.	Directly from Investors	Nil								
2.	SEBI (SCORES)	Nil								
3.	Stock Exchanges (If relevant)	Nil								
4.	Other Sources (If any)	Nil								
5.	Grand Total	Nil								
В. Т	REND OF MONTLY DISPOS	SAL OF COM	PLAINTS FOR 5	5 (FIVE) MONT	'HS ON ROLI	LING BASIS				
Sr. No.	Month	Carried Forward from the previous month	Received du the particu month	lar the p	the particular month*		Pending complaints for more than one month^			
1.	September, 2023@	Nil								
2.	October, 2023@	Not Applicable								
3.	November 2023@	Not Applicable								
4.	December 2023@	Not Applicable								
5.	January 2024@	Not Applicable								
C. 7	REND OF ANNUAL CALEN BASIS	DAR YEAR F	OR DISPOSAL O	OF COMPLAIN	TS FOR 5 (FI	VE) YEARS O	N ROLLING			
Sr. No.	Year	Carried Forward from previous calendar year	Received during particular caler year	Resolve	ed during the dar calendar	Pending at the end of the particular calendar year				
1.	2023			Ni						
2.	2024@	Not Applicable								
3.	2025®	Not Applicable								
4.	2026 <sup>®</sup>	Not Applicable								
						200				

Notes:

Not Applicable

2027@

For Ekadrisht Capital Private Lind

Sakshi Manhas

Company Secretary and Complian

(Membership No.: A70350)

<sup>\*</sup>Inclusive of complaints of previous months resolved in the current month:

<sup>\*\*</sup>Relevant month not applicable since as Merchant Banker certificate of registration was received as on September 05, 2023;

<sup>#</sup>Inclusive of complaints pending as on the last day of the month:

<sup>^</sup>Average Resolution Time is the sum total of the time taken to resolve each complaint in days in the current month, divided by total number of complaints resolved in the current month:

<sup>@</sup>Relevant month/year has not yet completed.